

# CPSC/PMAT 669

## One Way Functions and Cryptographic Key Agreement

Mike Jacobson

Department of Computer Science  
University of Calgary

Topic 4

## Outline

- 1 Motivation
- 2 One-Way Functions
- 3 Number Theory
  - Euler's  $\phi$  Function
  - Primitive Roots
  - Discrete Logarithms
- 4 Diffie-Hellman Key Agreement
  - Security of DH Protocol
  - The Power Algorithm (Binary Exponentiation)

Motivation

## Key Agreement

Recall the *key agreement problem*:

- Before deploying a conventional cryptosystem, how do Alice and Bob agree on a common secret cryptographic key?

Solutions:

- Secure channel (slow and expensive)
- Key agreement protocol via a certain one-way function: next.

One-Way Functions

## One-Way Functions

### Definition 1 (One-way function)

A function  $f$  that satisfies the following two properties:

- 1 *Ease of Computation*:  $f(x)$  is easy to evaluate for a given  $x$ .
- 2 *Pre-image Resistance*: Given  $y = f(x)$ , it is computationally infeasible to find  $x$ .

It is *not known* whether one way functions exist, but several that are *believed to be* one-way are used in cryptography.

## Examples

## Example 2

A pre-image resistant hash function is a one-way function.

## Example 3

A secure cryptosystem (computationally infeasible to find the key) provides a one-way function. Define  $C = f(x) = E_x(M)$ , where  $M$  is a known piece of plaintext and  $x$  is some key. Given  $M$  and  $C$  (KTA), it should be infeasible to find the key  $x$ .

We could also use  $f(x) = E_x(x)$ .

## Application: Access Control

Secure login via one-way functions: Computer stores a table

$$(user-id_i, f(P_i)) ,$$

containing user id's and images of passwords under a one-way function  $f$  — safer than storing passwords in the clear.

When a user logs in, he submits his user id  $user-id$  and his password  $P$ .

The computer generates  $f(P)$  and checks if  $(user-id, f(P))$  is an entry in the password table.

- If yes, access is granted, if no, access is denied.

 $\mathbb{Z}_m$  and  $\mathbb{Z}_m^*$ 

Several candidate one-way functions come from *number theory*.

Define for  $m \in \mathbb{N}$ :

- $\mathbb{Z}_m = \{0, 1, \dots, m-1\}$  set of integers modulo  $m$
- $\mathbb{Z}_m^* = \{a \in \mathbb{Z}_m \mid \gcd(a, m) = 1\}$  set of integers between 1 and  $m$  that are coprime to  $m$ .

## Example 4

$\mathbb{Z}_{42} = \{0, 1, \dots, 41\}$  and  $\mathbb{Z}_{42}^* = \{1, 5, 11, 13, 17, 19, 23, 25, 29, 31, 37, 41\}$ .

Euler's  $\phi$  FunctionDefinition 5 (Euler's  $\phi$  Function)

Let  $m$  be a positive integer. *Euler's phi function* is defined via

$$\phi(m) = |\mathbb{Z}_m^*|.$$

Interpretation:  $\phi(m)$  is the number of integers between 1 and  $m-1$  which are coprime (no common divisors) to  $m$ .

## Example 6

$$\phi(42) = |\mathbb{Z}_{42}^*| = \{1, 5, 11, 13, 17, 19, 23, 25, 29, 31, 37, 41\} = 12$$

$\phi(p^n)$ ,  $p$  prime

Let  $p$  be a prime. Then

$$\begin{aligned}\phi(p) &= p - 1 = p^0(p - 1) \\ \phi(p^2) &= p^2 - p = p^1(p - 1) \\ \phi(p^n) &= p^n - p^{n-1} = p^{n-1}(p - 1) .\end{aligned}$$

What about composites with more than one prime factor?

Multiplicativity of  $\phi(n)$ 

## Theorem 1

If  $\gcd(m_1, m_2) = 1$ , then  $\phi(m_1 m_2) = \phi(m_1)\phi(m_2)$ .

## Proof.

Omitted (uses Chinese Remainder Theorem). □

Computing  $\phi(n)$ 

## Corollary 2

If the prime factorization of  $m$  is given by

$$m = \prod_{i=1}^k p_i^{\alpha_i}, \quad p_i \text{ prime,}$$

then

$$\phi(m) = \prod_{i=1}^k \phi(p_i^{\alpha_i}) = \prod_{i=1}^k p_i^{\alpha_i - 1} (p_i - 1) .$$

## Example 7

$$\phi(42) = \phi(2 \times 3 \times 7) = \phi(2)\phi(3)\phi(7) = 1 \times 2 \times 6 = 12.$$

## Euler's and Fermat's Theorems

## Theorem 3 (Euler)

If  $\gcd(a, m) = 1$ , then  $a^{\phi(m)} \equiv 1 \pmod{m}$ .

Special case  $m = p$  prime:

## Theorem 4 (Fermat)

If  $p$  is prime, and  $p \nmid a$ , then  $a^{p-1} \equiv 1 \pmod{p}$ .

## Application: Probabilistic Primality Test

Fermat's Theorem gives rise to a fast probabilistic primality test using binary exponentiation:

- If  $a^{N-1} \equiv 1 \pmod{N}$  for a few small primes  $a \nmid N$ , then  $N$  is probably prime (base  $a$  pseudoprime).
- If  $a^{N-1} \not\equiv 1 \pmod{N}$  for any prime  $a \nmid N$ , then  $N$  is composite.

## Example 8

$N = 15 : 11^{N-1} \equiv 11^{14} \equiv 1 \pmod{15}$ , but  $13^{14} \equiv 4 \pmod{15}$ .

## Why "probabilistic"?

Unfortunately, there are composite numbers (called *Carmichael numbers*) for which  $a^{N-1} \equiv 1 \pmod{N}$  for all integers  $a$ .

- Thus, this method cannot *prove* primality.

The smallest Carmichael number is 561. The next few are 1105, 1729, 2465, 2821, 6601, 8911.

- Even worse: it has been proved that there are infinitely many Carmichael numbers.
- The good news is that they are very rare, so this test will work well for most integers.

## Primitive Roots

Recall that for any prime  $p$ :

- $\mathbb{Z}_p = \{0, 1, 2, \dots, p-1\}$  is the set of integers modulo  $p$ ;
- $\mathbb{Z}_p^* = \mathbb{Z}_p \setminus \{0\} = \{1, 2, \dots, p-1\}$ .

Fermat's theorem asserts that  $a^{p-1} \equiv 1 \pmod{p}$  for any  $a \in \mathbb{Z}_p^*$ . What about smaller powers of  $a$ ?

## Definition 9 (Primitive Root)

For a prime  $p$ , a *primitive root of  $p$*  (generator of  $\mathbb{Z}_p^*$ ) is an element  $g \in \mathbb{Z}_p^*$  such that the smallest positive integer  $k$  with  $g^k \equiv 1 \pmod{p}$  is  $p-1$ .

## Example

Generators yield the longest possible cycle of powers modulo  $p$ .

## Example 10

Is  $a = 3$  a primitive root of  $p = 7$ ? By tabulating the powers of  $a \pmod{p}$  we get

$$3^0 = 1, \quad 3^1 = 3, \quad 3^2 = 2, \quad 3^3 = 6, \quad 3^4 = 4, \quad 3^5 = 5, \quad 3^6 = 1.$$

(Sequence repeats at exponent 6 by Fermat's theorem.)

- Since 6 is the smallest power of 3 yielding 1, 3 is a primitive root of 7.
- 5 is also a primitive root of 7.

There are no others (e.g.  $2^3 = 1$ , so 2 is not a primitive root of 7).

## Properties of Primitive Roots

If  $g$  is a primitive root and  $\gcd(a, p) = 1$ , then  $g^i \equiv a \pmod{p}$  for some  $i$  with  $0 \leq i < p - 1$ . In other words, every non-zero integer is a power of a primitive root of  $p$ . So

$$\mathbb{Z}_p^* = \{g^0, g^1, \dots, g^{p-2}\}.$$

### Theorem 5

For any prime  $p$ , there are exactly  $\phi(p - 1)$  primitive roots of  $p$ .

### Example 11

For  $p = 7$ , there are  $\phi(p - 1) = \phi(6) = (3 - 1)(2 - 1) = 2$  primitive roots.

## Properties of Primitive Roots, cont.

It can be shown that for sufficiently large  $n$ ,

$$\phi(n) \geq C \frac{n}{\log \log(n)},$$

where  $C \approx 1.7$ . For large  $n$ ,  $\phi(n)$  is not much smaller than  $n$ . So that's a lot of primitive roots!

Most primes  $p$  have at least one small primitive root, i.e. most of the time, one of 2 or 3 or 5 or 7 is a primitive root of  $p$ .

## Computing Primitive Roots

Suppose  $p$  is prime.

- Select some  $g \in \mathbb{Z}_p^*$  and compute  $g^{(p-1)/q} \pmod{p}$  for each prime divisor  $q$  of  $p - 1$  (so this requires knowledge of the prime factorization of  $p - 1$ ).
- If  $g^{(p-1)/q} \not\equiv 1 \pmod{p}$  for each  $q$ , then  $g$  is a primitive root of  $p$ .

Best choice of  $g$ : a small prime (try 2, 3, 5, 7, ...).

## Example

### Example 12

$p = 19$ . Select  $g = 2$ .  $p - 1 = 18 = 2 \times 3^2$ . Then

$$2^{(19-1)/2} = 2^9 \equiv 18 \not\equiv 1 \pmod{19}$$

$$2^{(19-1)/3} = 2^6 \equiv 7 \not\equiv 1 \pmod{19}.$$

Thus, 2 is a primitive root of 19.

# Discrete Logarithms

Let  $p$  be a prime and  $g$  a primitive root of  $p$ . Then for every  $y \in \mathbb{Z}_p^*$ , there exists a unique integer  $x$  with  $0 \leq x \leq p - 2$  such that

$$y \equiv g^x \pmod{p}.$$

## Definition 13 (Discrete Logarithm)

The integer  $x$  is the *discrete logarithm* (or *index*) of  $y$  (to base  $g$ ).

# DLP Record

## Note 1

The fastest known algorithm for extracting discrete logs is the *Number Field Sieve* which is a very complicated algorithm using extremely sophisticated number theory.

- The current NFS DL record is for the prime  $p = \lfloor 10^{159} \pi \rfloor + 119849$  (160 decimal digits), Kleinjung, February 2007.

# Example

## Example 14

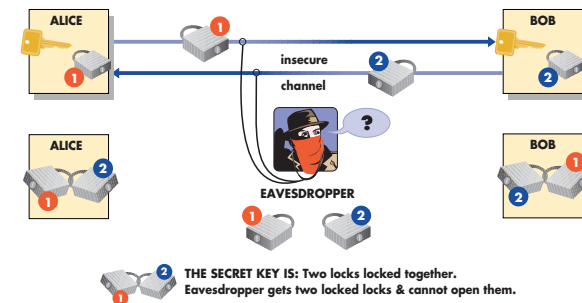
If  $p$  is large ( $\approx 2^{1024}$ ), then the function

$$f(x) \equiv g^x \pmod{p}, \quad 0 < x < p - 1, \quad 1 < f(x) < p$$

seems to be a one-way function, provided  $p - 1$  has at least one large prime factor. Computing  $x$  given  $f(x)$  and  $g$  is known as the *discrete logarithm problem* (DLP).

# Diffie-Hellman Key Exchange: Idea

A and B wish to establish a common key for encryption over a public channel in such a way that an eavesdropper cannot determine the key.



# Diffie-Hellman Key Agreement Protocol

Diffie and Hellman (1976) — still used today.

A and B agree on

- a large prime  $p$ ,
- a primitive root  $g$  of  $p$ .

These quantities can be public.

# Diffie-Hellman Description

A	Public Channel	B
Select $a$ , $1 < a < p$ randomly		Select $b$ , $1 < b < p$ randomly
$y_a \equiv g^a \pmod{p}$	$y_a \rightarrow$	$y_a$
$y_b$	$\leftarrow y_b$	$y_b \equiv g^b \pmod{p}$
$K = y_b^a$		$K = y_a^b$

## Note 2

- A and B get the same number  $K$  because  $y_b^a \equiv (g^b)^a \equiv g^{ba} \equiv (g^a)^b \equiv y_a^b \pmod{p}$
- Can use the low order 128 bits of  $H(K)$  for an AES key, where  $H$  is a cryptographically secure hash function.

# Security of Diffie-Hellman

Adversary's objective: find  $K$ .

*Diffie-Hellman Problem (DHP)*: given  $p$ ,  $g$ ,  $g^a$ ,  $g^b$ , find  $g^{ab}$  (modulo  $p$ ).

- equivalent to finding  $K$ .

Also recall: *Discrete Logarithm Problem (DLP)*: given  $p$ ,  $g$ ,  $g^a$ , find  $a$ .

- If an adversary can solve an instance of the DLP, he can solve the DHP (i.e.,  $\text{DHP} \leq_p \text{DLP}$ ).
- Other direction unknown, i.e., if there are ways of solving the DHP, i.e., attacking DH key agreement, other than extracting discrete logs.

# Parameter Choice

In order to make DLP attacks as difficult as possible, a popular choice for  $p$  is a *Sophie Germain* prime (aka *strong* or *safe* prime), i.e. a prime of the form  $p = 2q + 1$  with  $q$  prime.

Why? Because  $p - 1 = 2q$ , and thus as large a prime divisor as possible

## Man-in-the-Middle Attack

Consider the following (active) attack:

- Eve intercepts  $g^a$  from Alice and  $g^b$  from Bob.
  - She selects  $e$ ,  $1 < e < p$  and sends  $g^e$  to both Alice and Bob.
  - Alice now thinks that  $g^e$  is  $g^b$ , and Bob thinks  $g^e$  is  $g^a$ .
- Alice computes what she thinks is  $(g^b)^a$ , but in fact computes  $g^{ea}$ .
- Bob computes what he thinks is  $(g^a)^b$ , but in fact computes  $g^{eb}$ .
- Eve computes  $(g^a)^e$  (which is what Alice thinks is the key) and  $(g^b)^e$  (which is what Bob thinks is the key).

## Man-in-the-Middle Attack, consequence

If Alice sends a message encrypted with  $g^{ea}$  to Bob:

- Eve intercepts it, decrypts it with  $g^{ea}$ , re-encrypts it with  $g^{eb}$  and sends it on to Bob.
- Bob decrypts it unsuspectingly and in his perspective correctly using  $g^{eb}$ .

Similarly, Eve can read all traffic from Bob to Alice.

## Issues

Solution: keys need to be *entity-authenticated* (i.e. verified as belonging to the correct person).

- This is done using digital signatures, which we'll discuss later on.

Man-in-the-middle attack: example of can happen when adversarial models are too weak

- Basic (un-authenticated, or anonymous) DH is provably secure against passive adversaries (can only eavesdrop)
- Easily defeated by active adversary

Be aware of cryptography textbooks that only focus on the mathematics and ignore these issues!

## Efficiency of Diffie-Hellman

How efficient is DH key agreement?

- In other words, how fast is it to evaluate modular powers?

*Goal:* Efficiently evaluate  $a^n \pmod{m}$  given  $a, n, m$ .

One example: binary exponentiation

- based on the binary expansion of  $n$ :

$$n = b_0 2^k + b_1 2^{k-1} + \dots + b_{k-1} 2 + b_k$$

where  $b_0 = 1$ ,  $b_i \in \{0, 1\}$ ,  $1 \leq i \leq k$ ,  $k = \lfloor \log_2 n \rfloor$ .



## Binary Exponentiation: Idea

Given  $b_0, \dots, b_k$ , we can evaluate  $n$  efficiently using *Horner's Method*:

$$n = 2(\dots(2(2b_0 + b_1) + b_2) \cdots + b_{k-1}) + b_k .$$

Define  $s_0 = b_0$ ,  $s_{i+1} = 2s_i + b_{i+1}$  for  $0 \leq i \leq k-1$ . Then

$$s_0 = b_0$$

$$s_1 = 2b_0 + b_1$$

$$s_2 = 2(2b_0 + b_1) + b_2 = 2^2 b_0 + 2b_1 + b_2$$

$$\vdots$$

$$s_k = n .$$

One can formally prove (using induction on  $i$ ):

$$s_i = \sum_{j=0}^i b_j 2^{i-j} \quad \text{for } 0 \leq i \leq k$$

## Binary Exponentiation: Description

For  $0 \leq i \leq k$ , define

$$r_i \equiv a^{s_i} \pmod{m} .$$

Then  $r_k \equiv a^{s_k} \equiv a^n \pmod{m}$  and we can compute  $r_k$  iteratively as follows:

$$r_0 \equiv a^{s_0} \equiv a \pmod{m}$$

$$r_1 \equiv a^{s_1} \equiv a^{2b_0+b_1} \equiv (a^{s_0})^2 a^{b_1} \equiv (r_0)^2 a^{b_1} \pmod{m}$$

$$\vdots$$

$$r_{i+1} \equiv a^{s_{i+1}} \equiv a^{2s_i+b_{i+1}} \equiv (a^{s_i})^2 a^{b_{i+1}} \equiv (r_i)^2 a^{b_{i+1}} \pmod{m} .$$

## Binary Exponentiation: Algorithm

The actual algorithm:

- 1 Initialize  $r_0 = a$ .
- 2 for  $0 \leq i \leq k-1$  compute

$$r_{i+1} = \begin{cases} r_i^2 \pmod{m} & \text{if } b_{i+1} = 0 , \\ r_i^2 a \pmod{m} & \text{if } b_{i+1} = 1 . \end{cases}$$

## Binary Exponentiation: Analysis

What is the computational cost of this?

- $k$  modular squarings
- $h(n)$  modular multiplications by  $a$ , where  $h(n)$  is the *Hamming weight* of  $n$ , i.e. the number of '1's in the binary expansion of  $n$ .

*Total cost*: at most  $2 \log_2(n)$  modular multiplications.

Also note that all intermediate operands are smaller than  $m^2$

- Important that  $r_i$  is reduced modulo  $m$  after every operation

# Looking Ahead

Solutions to the key establishment problem:

- ① Diffie-Hellman key agreement protocol
- ② Public key cryptography — next!
  - also used for authentication — later!